

Docket No. AUS920010117US1

METHOD AND APPARATUS FOR PARITY ERROR RECOVERY

BACKGROUND OF THE INVENTION

5 1. Technical Field:

The present invention relates generally to an improved data processing system, and in particular to a method and apparatus for processing errors in a data processing system. Still more particularly, the present
10 invention provides a method, apparatus, and computer implemented instructions for parity error recovery.

2. Description of Related Art:

In presently available computer systems, error
15 detection logic and parity are used to ensure customer data integrity. Error detection involves testing for accurate storage, retrieval and transmission of data internally within the computer system. Parity checking is an error detection technique that tests the integrity
20 of digital data within the computer system or over a network. Parity checking uses an extra ninth bit that holds a 0 or 1 depending on the data content of the byte. Each time a byte of data is retrieved, transferred or transmitted, the parity bit is tested. Even parity
25 systems make the parity bit 1 when there is an even number of 1 bits in the byte. Odd parity systems make it 1 when there is an odd number of 1 bits. A parity error is an error condition that occurs when the parity bit of a character is found to be incorrect. For example, if
30 the number of set bits is even, it sets the parity bit to 0; if the number of set bits is odd, it sets the parity bit to 1. In this way, every byte has an even number of

Docket No. AUS920010117US1

set bits. When the data is checked, each byte is checked to make sure that it has an even number of set bits. If an odd number of set bits are present, an error has occurred. This check is typically made each time data is read from the storage device.

Today, computer systems use a large quantity of semiconductor memory for temporary data storage within the system. The types of temporary data storage includes a 1st level instruction (L1 I-Cache) and data cache (L1 D-Cache), a second level cache (L2), a third level cache (L3), a effective to real address translation (ERAT) buffer, translation lookaside buffer (TLB), and main memory. The smaller size memory within the system, such as L1, ERAT, or TLB are generally referred to as an array.

The large quantity of array or memory used in today's computer system also brings higher failure rates to the overall system. Semiconductor array or memory failures include solid and soft errors. Solid errors are those errors caused by imperfect manufacturing process or reliability wear out. Soft errors are those errors caused by alpha particle, cosmic ray or electrical noise within the computer system. Soft errors are transient errors. In general, soft errors in semiconductor array or memory are magnitudes higher than solid errors.

In some cases, computer hardware with a high failure rate, such as L2, L3, or system memory, uses error correction logic to minimize the impact of a failure of the system and improve overall system availability. Error correction logic, however, adds to the cost and additional circuit delay. As a result, the cost of systems and overall system performance may be reduced.

[illegible]

[REDACTED]

[REDACTED]

[REDACTED]

Suppose, for example, data processing system 100 is divided into three logical partitions, P1, P2, and P3.

Suppose, for example, data processing system 100 is divided into three logical partitions, P1, P2, and P3.

Docket No. AUS920010117US1

Each of I/O adapters **120-121**, **128-129**, **136-137**, and **146-147** each of processors **101-104**, and each of local memories **160-163** are assigned to one of the three partitions. For example, processor **101**, memory **160**, and I/O adapters **120**, **128**, and **129** may be assigned to logical partition P1; processors **102-103**, memory **161**, and I/O adapters **121** and **137** may be assigned to partition P2; and processor **104**, memories **162-163**, and I/O adapters **136** and **146-147** may be assigned to logical partition P3.

Each operating system executing within data processing system **100** is assigned to a different logical partition. Thus, each operating system executing within data processing system **100** may access only those I/O units that are within its logical partition. Thus, for example, one instance of the Advanced Interactive Executive (AIX) operating system may be executing within partition P1, a second instance (image) of the AIX operating system may be executing within partition P2, and a Windows 2000™ operating system may be operating within logical partition P1. Windows 2000 is a product and trademark of Microsoft Corporation of Redmond, Washington.

Peripheral component interconnect (PCI) Host bridge **114** connected to I/O bus **112** provides an interface to PCI bus **115**. A number of terminal bridges **116-117** may be connected to PCI bus **115**. Typical PCI bus implementations will support four terminal bridges for providing expansion slots or add-in connectors. Each of terminal bridges **116-117** is connected to a PCI I/O adapter **120-121** through PCI Bus **118-119**. Each I/O adapter **120-121** provides an interface between data

Docket No. AUS920010117US1

processing system **100** and input/output devices such as, for example, other network computers, which are clients to server **100**. Only a single I/O adapter **120-121** may be connected to each terminal bridge **116-117**. Each of

5 terminal bridges **116-117** is configured to prevent the propagation of errors up into the PCI Host Bridge **114** and into higher levels of data processing system **100**. By doing so, an error received by any of terminal bridges **116-117** is isolated from the shared buses **115** and **112** of

10 the other I/O adapters **121, 128-129, 136-137, and 146-147** that may be in different partitions. Therefore, an error occurring within an I/O device in one partition is not "seen" by the operating system of another partition. Thus, the integrity of the operating system in one

15 partition is not effected by an error occurring in another logical partition. Without such isolation of errors, an error occurring within an I/O device of one partition may cause the operating systems or application programs of another partition to cease to operate or to

20 cease to operate correctly.

Additional PCI host bridges **122, 130, and 140** provide interfaces for additional PCI buses **123, 131, and 141**. Each of additional PCI buses **123, 131, and 141** are connected to a plurality of terminal bridges **124-125,**

25 **132-133, and 142-143,** which are each connected to a PCI I/O adapter **128-129, 136-137, and 146-147** by a PCI bus **126-127, 134-135, and 144-145**. Thus, additional I/O devices, such as, for example, modems or network adapters may be supported through each of PCI I/O adapters

30 **128-129, 136-137, and 146-147**. In this manner, server **100** allows connections to multiple network computers. A

Docket No. AUS920010117US1

memory mapped graphics adapter **148** and hard disk **150** may also be connected to I/O bus **112** as depicted, either directly or indirectly.

Management of logical partitions is achieved through
5 terminals, such as hardware system consoles (HSC). This access is provided in these examples through service processor **166**, nonvolatile random access memory (NVRAM) **168**, and input/output (I/O) adapter **170**. HSCs connect to service processor **166** through I/O adapter **170**. NVRAM **168**
10 contains objects, such as profiles used to configure and manage logical partitions within data processing system **100**. In these examples, the profiles stored in NVRAM **168** are sent to HSCs as they come online or connect to data processing system **100** through I/O adapter **170**. This
15 architecture provides a mechanism to avoid having to store profiles for logical partitions at the HSCs. Further, synchronization mechanisms to maintain profiles duplicated at different HSCs also are not required with this architecture.

20 In the depicted examples, processors **101-104** include data caches, such as a level 1 (L1) data cache. A cache is used to speed up data transfer and may be either temporary or permanent. In this example, the L1 data cache is a permanent located within a processor.

25 Instructions and data are transferred to the cache in blocks, using some kind of look-ahead algorithm. The more sequential the instructions in the routine being accessed, and the more sequential the order of the data being read, the more chance the next desired item will
30 still be in the cache, and the greater improvement in performance.

Docket No. AUS920010117US1

Those of ordinary skill in the art will appreciate that the hardware depicted in **Figure 1** may vary. For example, other peripheral devices, such as optical disk drives and the like, also may be used in addition to or
5 in place of the hardware depicted. The depicted example is not meant to imply architectural limitations with respect to the present invention.

The mechanism of the present invention relates to error recovery in data caches and other components
10 associated with processors, such as a translation lookaside buffer (TLB) or effective to real address translation (ERAT). These small memories in the processor are generally referred to as array. Currently, detection of a parity error causes a system crash. The
15 mechanism of the present invention is designed to allow for recovery from parity errors in these arrays. The mechanism involves a runtime system firmware in an interrupt routine, which includes a recovery process or algorithm. This process reads the save and restore
20 registers 1 (SRR1) and data storage interrupt status register (DSISR) in the processor and uses this information to determine if the error is a recoverable error. If the error is a recoverable error, an action is taken depending on the type of error. For example, if
25 the error is an instruction fetch TLB error, then the entries in the TLB are invalidated and an error count is incremented. If the error count exceeds a selected threshold, then a different action may be taken.

Turning next to **Figure 2**, a block diagram of
30 components involved in parity error recovery is depicted in accordance with a preferred embodiment of the present invention. In this example, processor **200** includes an L1

2025 RELEASE UNDER E.O. 14176

Docket No. AUS920010117US1

cache and tag **202**, an effective to real address translation (ERAT) unit **204**, a translation lookaside buffer (TLB) **206**, SRR1 and DSISR registers **208**, and hardware logic **210**. L1 cache, tag, ERAT and TLB are

5 generally referred to as processor array. Runtime system firmware **212** implements an interrupt routine containing a recovery algorithm used with parity errors in these arrays. Runtime system firmware **212** is a set of computer instructions produced by computer hardware manufacturer

10 to implement a specific computer function. In this case, the system firmware is written specifically for error recovery in these processor arrays. The runtime firmware is executing processor instructions on the processor with array parity error. This mechanism will read SRR1 and

15 DSISR registers **208** in processor **200**. The information from these registers is used to determine whether the error is a recoverable error. Upon identifying a recoverable error, different actions are taken depending on the type of error.

20 Turning next to **Figure 3**, a table illustrating errors and actions is depicted in accordance with a preferred embodiment of the present invention. Table **300** identifies different errors and actions to be taken. The error description is found in column **302**, the hardware

25 indicator (i.e. content of SRR1 and DSISR registers for the column) is found in column **304**. Firmware recovery actions, if any, are identified in column **306**. The severity of the error is found in column **308** and the disposition of the error as to whether a recovery has

30 occurred is found in column **310**. Both severity and disposition information are contained in the error log

TABLE 300: FIGURE 3

Docket No. AUS920010117US1

informing the operating system of the recovery status. In these examples, entry **312** is an entry for error in which a recovery does not occur. This error includes a loss of hardware states. When this type of error occurs, the
5 system or a logical partition in a partitioned system does not recover and must be restarted.

Entries **314-324** identify errors in which a recovery may occur. These errors include data cache parity errors and data cache tag parity errors; load/store (L/S) TLB
10 parity errors and L/S data ERAT parity errors; and load/store (L/S) TLB parity errors and L/S data ERAT parity errors. The actions in these types of errors include invalidated the content of a cache, an ERAT or a TLB. Additionally, these entries in which recoveries may
15 occur identify action to take depending on whether a threshold in an error count has been met or exceeded. For example, in entry **318** when an error count is less than or equal to a threshold, the data cache is invalidated. If the threshold has been exceeded, entry
20 **320** indicates that for a data cache parity error that the data cache is to be both invalidated and the failing portion of a cache is disabled.

Turning now to **Figure 4**, a flowchart of a process used for recovering from a parity error is depicted in
25 accordance with a preferred embodiment of the present invention. The process illustrated in **Figure 4** may be implemented as a runtime system firmware machine check interrupt routine executing computer instructions. In these examples, steps **404** to **440** are implemented as
30 runtime system firmware executing computer instructions on a processor with detected parity errors. The runtime system firmware machine check interrupt routine prevent

Docket No. AUS920010117US1

the system from crashing when a parity error occurs by executing error recovery algorithm.

- The process begins when a parity error is detected by hardware error detection logic (step 400). Upon
- 5 detecting a hardware error, the specific error type and processor recoverable interrupt state are saved in the processor SRR1 and DSISR register, and a hardware branch of the instruction to the machine check interrupt vector at address 0x0200 occurs (step 402). Step 402 is
- 10 performed by hardware logic. In these examples, the error status registers are SRR1 and DSISR. These hardware state and status registers, SRR1 and DSISR, are then read (step 404). A determination is made as to whether the interrupt is a recoverable one (step 406).
- 15 In the depicted examples, a determination is made by reading the processor SRR1 register and check if RI bit within the SRR1 register is set to the value of 1.

- If the interrupt is a recoverable one ($SRR1[RE] = 1$), a determination is made as to whether the error type
- 20 is an L1 D-cache or an L1 D-cache tag (step 408). If this error type is not present, then a determination is made as to whether the error type is a TLB error (step 410). If the answer to this determination is yes, all TLB entries are invalidated (step 412), and a TLB error
- 25 counter, is incremented by 1 (step 414).

- Next, a determination is made as to whether the TLB error counter is greater than a threshold (step 416). An error threshold is predetermined during design phase by setting it significantly higher than the projected soft
- 30 error rate of an array over the life time of the product. In this case, a threshold of 5 is chosen. If an error threshold for a particular array (L1 D-cache, TLB or

2025 RELEASE UNDER E.O. 14176

ERAT) is reached, it means that the error encountered is solid, and invalidating the content of the array will not remove the soft error. If the TLB error counter is greater than the threshold, the failing portion of the TLB is disabled by changing a specific value in the Hardware Implementation Dependent (HID) Register (step **418**). A recovery success status is reported (step **420**) to the interrupted operating system software via a callback mechanism, with the process terminating thereafter. The operating system software check the error log for recovery status and resume operation. Turning back to step **416**, if the error count is not greater than the threshold, the process proceeds to step **420**, as described above.

Turning again to step **428**, if the error counter does not exceed the threshold, the process proceeds to step **420**. With reference back to step **422**, if the error type is not an ERAT type error, the process terminates.

Docket No. AUS920010117US1

Turning back to step 408, if the error type is an L1 data cache or an L1 data cache tag, the data cache is invalidated (step 432). A D-Cache or D-Cache tag error counter is incremented by 1. (step 434). Then, a
5 determination is made as to whether the D-Cache or D-Cache tag error counter has exceeded the threshold (step 436). The threshold is the same threshold value as in step 416, but for a different counter. If the
10 threshold has been exceeded, then the failing portion of the D-cache or D-cache tag is disabled (step 438) with the process proceeding to step 420 as described above.

With reference again to step 436, if the D-Cache or D-Cache tag error counter has not exceeded the threshold, the process also proceeds to step 420 as described above.

15 Turning back to step 406, if the machine check interrupt is not a recoverable one, a not recovered status is reported to the interrupted operating system software via a callback mechanism (step 440) with the process terminating thereafter. In step 440, the
20 operating system proceeds with its recovery or terminating process.

Thus, the present invention provides an improved method, apparatus, and computer implemented instructions for handling processor array parity errors in which a
25 system failure does not necessarily occur in response to this type of error. The mechanism of the present invention includes a routine or process that reads selected registers in the processor to determine whether the error is a recoverable error. If the error is a
30 recoverable error, an action is taken based on the type of error.

Docket No. AUS920010117US1

It is important to note that while the present invention has been described in the context of a fully functioning data processing system, those of ordinary skill in the art will appreciate that the processes of the present invention are capable of being distributed in the form of a computer readable medium of instructions and a variety of forms and that the present invention applies equally regardless of the particular type of signal bearing media actually used to carry out the distribution. Examples of computer readable media include recordable-type media, such as a floppy disk, a hard disk drive, a RAM, CD-ROMs, DVD-ROMs, and transmission-type media, such as digital and analog communications links, wired or wireless communications links using transmission forms, such as, for example, radio frequency and light wave transmissions. The computer readable media may take the form of coded formats that are decoded for actual use in a particular data processing system.

The description of the present invention has been presented for purposes of illustration and description, and is not intended to be exhaustive or limited to the invention in the form disclosed. Many modifications and variations will be apparent to those of ordinary skill in the art. The embodiment was chosen and described in order to best explain the principles of the invention, the practical application, and to enable others of ordinary skill in the art to understand the invention for various embodiments with various modifications as are suited to the particular use contemplated.